



CFS Financial Services Pvt. Ltd.

POLICY ON CHINESE WALL

OF

CFS FINANCIAL SERVICES PVT LTD

Regd. Office – F-12, Green Park Main, New Delhi – 110016,

Dealing Office – Plot No DSS – 13 & 14, HUDA Market, Sector – 30, Faridabad, Haryana- 121001

CIN – U74899DL1994PTC062182

Tel.: 011-40502714, 41637972, 0129-4103611-14, 0129-4103635-36, Email: info@cfstradecity.in

In case of any grievances please write to complaints@cfstradecity.in

SEBI Regn. No.: INZ000224536

Depository Participant – NSDL-IN-DP-138-2015

(Drafted By Compliance Officer and adopted by the Board of Directors on
23.12.2018).

Version – 1.0. 0.



1. CFS Financial Services Pvt. Ltd follows the Chinese wall policy which endeavors to ensure that employees understand their obligations to maintain the confidentiality of information and ensure that any disclosures are in compliance with the law and regulations.
2. Depository Participant (hereinafter 'DP') team is separate work group, comprising of NISM/NCFM certified employees.
3. DP team members are authorized to access only DP related computers, software, systems and data–base.
4. The concept of maker, checker & authorization are the key to internal controls applied for all DP related processes.
5. Employees can log–in to the systems only through their respective authorized id and password.
6. Other than the staff of the DP Department, the members of the compliance team and the auditors can access DP related computers.
7. No other employees of the company are authorized to access any DP related file, Data or other details including software, systems and database.